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FOLEY AND LARDNER LLP  
SUITE 500  
3000 K STREET NW  
WASHINGTON, DC 20007

EXAMINER
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HODGE, ROBERT W

ART UNIT	PAPER NUMBER
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1795

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03/10/2009

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

10/603,782

Applicant(s)

OOGAMI, ETSUO

Examiner

ROBERT HODGE

Art Unit

1795

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period **will** apply and **will** expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply **will**, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 17 November 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 2-13, 18, 22 and 23 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 2-13, 18, 22 and 23 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date: \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Response to Arguments***

Applicant's arguments filed 11/17/08 have been fully considered but they are not persuasive. First and foremost applicant's amendment contains new matter, which will be addressed in the grounds of rejection below. Furthermore even if applicant's amendment did not contain new matter, which it does, the amendment does not overcome the prior art of record since applicants claims are drawn to a final product, not an intermediate product. Therefore the packing case 20 of Soltis in its finished state does in fact define "a fixed open space for housing the battery cell"(s) since the battery cell(s) are contained within the boundaries (i.e. open space) of the packing case. This same analysis applies to the Chaloner-Gill reference. The remainder of applicant's arguments state that because Soltis has supposed deficiencies none of the secondary references make up for said deficiencies. However Soltis does not contain the supposed deficiencies as clarified above and the rejections will be maintained. The Examiner acknowledges that applicant has added new claim 23, which will be addressed in the grounds of rejection below.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 2-13, 18, 22 and 23 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The limitation of "defining a fixed open space for housing the" "battery cell" or "plurality of battery cells" in claims 13 and 23 respectively is new matter. There is no support anywhere in the instant specification for this amendment and there is not implication in the instant specification that the packing case can provide a fixed open space, that is the packing case comprises a rigid material as implied by applicants' arguments.

***Claim Rejections - 35 USC § 102***

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

Claims 2-4, 6, 8, 9, 13, 18 and 22 and 23 are rejected under 35 U.S.C. 102(b) as being anticipated by U.S. Patent No. 2,870,235 hereinafter Soltis.

Regarding claims 13 and 23, as seen in Figure 1, Soltis teaches a battery pack, as seen in figures 9-11, 14, 17 and 18, Soltis teaches a plurality of battery packs stacked on one another in a stacking direction, wherein the individual battery pack comprises at least one battery cell having a power generating element (Cathode 14, Anode 10 and Separator 12) sealed in a film 16 and a pair of electrode tabs (22, 24, 162 and 166) protruding from the film, a packing case 20 for accommodating the battery cell,

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which is provided with an opening to expose the electrode tab to extend out from the packing case linearly on one side of the module battery, wherein the opening of the packing cases are all arranged in the stacking direction at one side of the module battery (as seen in the above cited figures), wherein the battery packs include a first battery pack and second battery pack, and the battery cells of the first and second battery packs are connected with each other via the electrode tabs of the respective battery cells (see figure 11), see also column 2, lines 35-66, column 3, lines 14-21, column 4, lines 5-63 and column 6, lines 38-54. It is noted that applicant's claims are drawn to a final product, not an intermediate product. Therefore the packing case 20 of Soltis in its finished state does in fact define "a fixed open space for housing the battery cell"(s) since the battery cell(s) are contained within the boundaries (i.e. open space) of the packing case. It is further noted that figure 1 shows two unit cells which therefore reads on a plurality of battery cells.

Regarding claim 2, Soltis teaches that all of the openings of the packing case are covered so as to make the stack battery packs air tight (figure 14 column 2, lines 61-64 and column 4, lines 46-61).

Regarding claims 3, 4 and 18, Soltis teaches space provided between walls of the battery packs adjacent to each other by a tapering effect of the completed laminate battery pack as seen in figure 17, between the laminate edges of the battery packs 160.

Regarding claims 6 and 8, Soltis teaches the packing case is comprised of a pair of case halves 40 and 42 that are symmetrically formed with respect to a plane as seen in figure 8(a) (column 3, lines 14 et seq.).

Regarding claim 9, Soltis teaches that each of the packing cases of the battery packs is provided with a flange having sides to be aligned as the packing cases are stack, as seen in figure 17 the laminate edges of 160 act as flanges and are aligned with one another.

Regarding claim 22, as seen in figure 14, Soltis teaches a battery pack holder (84 with 88 and a wax coating applied over everything including a wrapper applied over the wax coating) which holds the stacked battery packs together, wherein each of the openings of the packing cases are covered with the battery back holder so as to make the stacked battery packs air tight (column 4, lines 29-50).

Claims 2-4, 6-9, 13, 18, 22 and 23 are rejected under 35 U.S.C. 102(b) as being anticipated by U.S. Patent No. 3,844,841 hereinafter Baker.

Regarding claims 13 and 23, as seen in Figures 1-3, 6 and 7, Baker teaches a module battery 10 comprising a plurality of battery packs 12 stacked on one another in a stacking direction, wherein the individual battery pack comprises a plurality of battery cells 40 each having a power generating element sealed in a film 52 and 54 and a pair of electrode tabs (56 and 58) protruding from the film, a packing case 14 formed of a material high in mechanical strength (i.e. rigid material) thereby defining a fixed open space for accommodating the battery cells, which is provided with an opening to expose the electrode tab to extend out from the packing case linearly on one side of the module battery, wherein the opening of the packing cases are all arranged in the stacking direction at one side of the module battery (as seen in the above cited figures), wherein the battery packs include a first battery pack and second battery pack, and the battery

cells of the first and second battery packs are connected with each other via the electrode tabs of the respective battery cells (column 2, line 23 – column 5, line 64).

Regarding claim 2, Baker teaches that all of the openings of the packing case are covered so as to make the stack battery packs air tight (column 3, lines 4-30).

Regarding claims 3, 4 and 18, Baker teaches space provided between walls of the battery packs adjacent to each other by a tapering effect (column 2, line 23 – column 3, line 2).

Regarding claims 6 and 8, Baker teaches the packing case is comprised of a pair of case halves 14 that are symmetrically formed with respect to a plane as seen in figure 2.

Regarding claim 7, Baker teaches the case halves have a locate pin 26 and the battery cell is provided with a through hole 56a and 58a to which the locate pin is fitted (column 4, line 48 – column 5, line 11).

Regarding claim 9, Baker teaches that each of the packing cases of the battery packs is provided with a flange 20 having sides to be aligned as the packing cases are stack, as seen in figure 8 (column 2, line 23 - column 3, line 2).

Regarding claim 22, Baker teaches a battery pack holder (i.e. a peripheral seal between each packing case) which holds the stacked battery packs together, wherein each of the openings of the packing cases are covered with the battery back holder so as to make the stacked battery packs air tight (column 5, lines 49-64).

***Claim Rejections - 35 USC § 103***

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Soltis as applied to claim 3 above, and further in view of U.S. 6,821,671 hereinafter Hinton.

Soltis does not teach a cooling fin in the space.

Hinton teaches a battery pack for cooling battery cells that includes a cooling fin provided in hollow spaces (figure 4, column 4, lines 30-38).

At the time of the invention it would have been obvious to a person having ordinary skill in the art to include cooling fins in the battery packs of Soltis as taught by Hinton in order to provide additional cooling means for maintaining the battery cells at their optimal operating temperature, thereby extending the life of the battery.

Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Soltis as applied to claim 6 above, and further in view of U.S. 5,688,615 hereinafter Mrotek.

Soltis does not teach a locate pin for aligning the battery cell within the battery pack via a through-hole in the battery cell.

Mrotek teaches a battery cell provided within a housing that utilizes an alignment pin, to line up the battery cell within the housing (figure 6, column 5, line 66 – column 6, line 37).

At the time of the invention it would have been obvious to a person having ordinary skill in the art to include an alignment pin in Soltis as taught by Mrotek in order



to provide a simplified means of assembling the battery cell within the housing thereby making sure everything is perfectly aligned before completing the assembly process.

Claims 10, 11 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,773,848 hereinafter Nortoft in view of U.S. Patent No. 5,445,856 hereinafter Chaloner-Gill.

Regarding claims 11 and 13 as seen if figures 1a and 1b Nortoft teaches a plurality of battery packs stacked on one another in a stacking direction, wherein the individual battery pack comprises at least one lithium ion battery cell having a power generating element, a pair of electrode tabs 2 and 3 that extend out of a flexible packing case and the openings for the tabs are arranged linearly on one side of the battery module, wherein a first electrode tab 2, from a first packing case of the first battery pack 1, is connected to a second electrode tab 2' that extends out from a second packing case of the second battery pack 1' (see also column 3, line 65 – column 4, line 11).

Nortoft does not teach that the battery cell is sealed in a film. Nortoft does state in column 4, lines 1 et seq. that “The exact design structure of the cells is not relevant to the present application, but they may be as described in U.S. Patent No. 5,445,856, i.e. flat wound cells housed in a thin foil laminate package”.

As seen in figure 3, Chaloner-Gill teaches a protective multilayer laminate for covering an electrochemical cell such as a lithium battery, wherein the laminate comprises interior layers 36 and 38 (i.e. sealing the battery in a film) and exterior layers 40 and 42 (i.e. packing case) (column 3, line 24 – column 5, line 3).

At the time of the invention it would have been obvious to a person having ordinary skill in the art to provide a protective multilayer laminate as the flexible packing material in Nortoft (as suggested by Nortoft, column 4, lines 1-4) as taught by Chaloner-Gill in order to provide a multilayer laminate that protects the battery as well as inhibiting penetration of oxygen or oxygen and water, thus increasing the overall life of the battery.

Regarding claim 10, as seen in figures 2 and 2b Nortoft teaches that the laminated edges (i.e. flanges) of the battery packs 1 and 1' are connected to a circuit board 5 and folded such that the battery packs 1 and 1' are on opposite sides of the circuit board and thus the circuit board acts as a spacer between the two battery packs in the stacking direction.

Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over Nortoft in view of Chaloner-Gill as applied to claim 13 above, and further in view of U.S. 5,879,831 hereinafter Ovshinsky.

Nortoft as modified by Chaloner-Gill does not teach a motor vehicle comprising a module battery.

Ovshinsky teaches a battery pack that includes a plurality of packing cases provided therein that are spaced a part from each other to allow fluid to flow there through (abstract, column 3, line 24 – column 5, line 47, column 7, lines 36-60, column 9, line 21 – column 10, line 51, column 12, lines 1-3, column 13, line 46 – column 19, line 25). Ovshinsky also teaches that it is well known for batteries to power motor vehicles (column 1, lines 21-25).

At the time of the invention it would have been obvious to a person having ordinary skill in the art to provide the module battery of Nortoft as modified by Chaloner-Gill in a motor vehicle as taught by Ovshinsky and properly scale the battery for its intended use in the vehicle, whichever use that may be, such as replacing an internal combustion engine or supplementing the ancillary electrical systems that would normally require a mechanical alternator that is powered by the engine as well, in order to provide a vehicle that has reduced pollution production or none at all either by completely replacing the internal combustion engine or by supplementing it.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ROBERT HODGE whose telephone number is (571)272-2097. The examiner can normally be reached on 8:00am - 4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick Ryan can be reached on (571) 272-1292. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/R. H./  
Examiner, Art Unit 1795

/PATRICK RYAN/  
Supervisory Patent Examiner, Art Unit 1795